

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Olsen et al.

Docket No.: PF363C2

Application No.: 10/771,418

Confirmation No.: 4060

Filed: February 5, 2004

Art Unit: 1644

For: Fc Receptors and Polypeptides

Examiner: M. A. Belyavskyi

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of a claim of the subject application, Agent for Applicants hereby directs the Examiner's attention to references AA-BJ listed on the attached form PTO/SB/08.

Copies of references AA-BI were submitted by Applicants or cited by the Examiner in connection with United States Patent Application Serial No. 09/907,421, filed July 16, 2001, to which the instant application claims priority under 35 U.S.C. § 120. Pursuant to 37 C.F.R. § 1.98(d), the Examiner is directed to the file of United States Patent Application Serial No. 09/907,421 for copies of references AA-BI. A copy of reference BJ is submitted herewith.

Identification of the listed references is not to be construed an admission of any individual associated with the filing or prosecution of the subject application that such references are available as "prior art" against the subject application. Furthermore, Applicants do not waive any rights to appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the subject application.

The above information is presented so that the Patent and Trademark Office can determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be considered during the prosecution of this application.

Applicants respectfully request that the Examiner review the listed references and that the references be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97(b), since this information disclosure statement is being filed before the mailing date of a first Office Action on the merits, no fee is due in connection herewith. However, should the Patent Office determine otherwise, please charge the required fee to Human Genome Sciences, Inc., deposit account no. 08-3425.

Date: 8/16/2004

Respectfully submitted,

Doyle A Siever

Reg. No. 47,088)

Agent for Applicants

Human Genome Sciences, Inc. 14200 Shady Grove Road Rockville, MD 20850 (301) 354-3932 (phone)

MJP/DAS/PF

lease type a plus sign (+) inside this box → +

PTO/SB/08 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute f	or form 1449/PTO			Complete if Known			
IN	FORMATION DI	SCLO	SURE	Application Number	10/771,418		
	ATEMENT BY A			Filing Date	February 5, 2004		
21	ALEMENIBIA		CANI	First Named Inventor	Olsen et al.		
				Group Art Unit	1644		
	(use as many sheets a	s neces	sary)	Examiner Name	Michail A. Belyavskyi		
Sheet	1	of	5	Attorney Docket Number	PF363C2		

Examiner Initials*	G:	U.S. Patent Document	Name of December of Applicant	Date of Publication	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	Cite No. 1	Kind Code Number (if known		of Cited Document MM-DD-YYYY		
	AA	5,198,342	Maliszewski	03/30/1993		
	AB	5,328,987	Maliszewski	07/12/1994		

					F	DREIGN PATENT DOCUMENT	rs		
Examiner Initials	Cite No. 1	Fo Office ³	oreign Patent D Number ⁴	Kind (if kne	Code ⁵		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁴
	AC		WO 98/0	9638		Brigham and Women's Hospital	03/12/1998		
	AD		WO 98/2	4906		Schering Corporation	06/11/1998		I

		OTHER REFERENCES - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т6
	AE	KATZ, et al., "Mouse mast cell gp49B1 contains two immunoreceptor", Proc. Natl. Acad. Sci. USA, 93:10809-10814 (1996)	
	AF	HIBBS, et al., "Molecular cloning of a human immunoglobulin G Fc receptor", Proc. Natl. Acad. Sci. USA, 85-2240-2244 (1988)	
	AG	RAGHAVAN, et al., "Fc Receptors and their Interactions with Immunoglobulins", Annu. Rev. Cell Dev. Biol., 12:181-220 (1996)	
	AH	STENGELIN, et al., "Isolation of cDNAs for two distinct human Fc receptors by ligand affinity cloning", EMBO J., 7(4):1053-1059 (1988)	
	AI	SAMARIDIS, et al., "Cloning of novel immunoglobulin superfamily receptors expressed on human myeloid and", Eur. J. Immunol., 27(3):660-665 (1997)	

Examiner	Date	
Signature	Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIP Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Phase type a plus sign (+) inside this box → +

PTO/SB/08 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute fo	or form 1449/PTO			Complete if Known			
INI	FORMATION	DISCLO	SURE	Application Number	10/771,418		
	ATEMENT BY			Filing Date	February 5, 2004		
31	AIEMENID	AIILI	CAITI	First Named Inventor	Olsen et al.		
				Group Art Unit	1644		
	(use as many sheet	ts as necessa	ary)	Examiner Name	Michail A. Belyavskyi		
Sheet	2	of	5	Attorney Docket Number	PF363C2		

				U.S. PATENT DOCUMENTS		
	a	U.S. Patent I	Document	N. C. C. D. C.	Date of Publication	Pages, Columns, Lines,
Examiner Initials	Cite No. 1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear

					F	DREIGN PATENT DOCUMENT	rs		
Examiner	Cite	Fo	reign Patent D	ocumen	t	Name of Patentee or Applicant	Date of Publication	Pages, Columns, Lines, Where Relevant	Τ
Initials*	No. 1	Office ³	Number ⁴	Kind (if kn	Code ⁵ own)	of Cited Document	of Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
									T
									Ι
									Τ

	-	OTHER REFERENCES - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	AJ	COLONNA M., Genbank Accession No. AF014923 (12/05/1995)	
	AK	BORGES, L., Genbank Accession No. AF025527 (12/02/1997)	
	AL	ARM J.P, Genbank Accession No. U91926 (09/12/1997)	
	AM	VINOGRADOVA, T.V., Genbank Accession No. T41304 (01/23/1995)	
	AN	ZHAO, EST Accession No. AQ532231 (1997)	Ш
	AO	NAGASE T., GEN EMBL Accession NO. AB002362 (1997)	
	AP	ENSERINK M., "Patent Office May Raise The Bar on Gene Claims", Science, 287:1196-1197 (02/18/2000)	
		PERESS, N.S., et al., "Monoclonal antibodies identify three IgG Fc receptors in normal human central nervous system", Clin. Immunol. Immunopathol. 53(2 Pt 1):268-280 (1989)	
		PERESS, N. S., et al., "High avidity periventricular IgG-Fc receptor activity in human and rabbit brain", Clin. Immunol. Immunopathol. 42(2):229-238 (1987)	

Examiner	Date	
Signature	Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIP Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside this box →	+	
---	---	--

PTO/SB/08 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute f	or form 1449/PTO			Complete if Known			
IN	FORMATION DI	SCLO	SURE	Application Number	10/771,418		
	ATEMENT BY A			Filing Date	February 5, 2004		
31	AIENIENI DI A	KELLI	CANI	First Named Inventor	Olsen et al.		
				Group Art Unit	1644		
	(use as many sheets a	s necess	ary)	Examiner Name	Michail A. Belyavskyi		
Sheet	3	of	5	Attorney Docket Number	PF363C2		

				U.S. PATENT DOCUMENTS		
Examiner Initials*	Cite	U.S. Patent I	Ocument	No.	Date of Publication	Pages, Columns, Lines, Where Relevant
	No. 1	Number	Kind Code ² (if known)		of Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
		 			<u> </u>	

	FOREIGN PATENT DOCUMENTS														
Examiner Initials	Cite	Fo	reign Patent D	ocumen	t	Name of Patentee or Applicant	Date of Publication	Pages, Columns, Lines, Where Relevant							
	No. 1							No. 1	Office ³	Number ⁴	Kind (if kn	Code⁵ own)	of Cited Document	of Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear

		OTHER REFERENCES - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T6
	AS	WOODROOFE, M.N., et al., "Fc receptor density, MHC antigen expression and superoxide production are increased in interferon-gamma-treated microglia isolated from adult rat brain", Immunology, 68(3):421-426 (1989)	
	AT	ZHANG, G., et al." Identification of a Novel Class of Mammalian FcY Receptor, J. Immunol. 155:1534-1541 (1995)	
	AU	WILSON, et al. "Assessing Annotation Transfer to Genomics: Quantifying the relations between protein sequence, structure, and function through traditional and probabilistic scores," J. Mol. Biol. 17:233-249 (2000).	
	AV	DUNWELL, et al., "Microbial Relatives of Seed Storage Proteins of Higher Plants: Conservation of Structure and Diversification of Function during Evolution of the Cupin Superfamily," Microbiol. Mol. Biol. Rev., 64:153-179 (2000).	
	AW	WEBSTER'S NINTH NEW COLLEGIATE DICTIONARY, Merriam Webster Inc., Page 568 (1985)	

Examiner	Date	
Signature	Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIP Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside this box	→	+	
---	---	---	--

PTO/SB/08 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute fo	or form 1449/PTO			Complete if Known			
IN	FORMATION DI	SCL	DSURE	Application Number	10/771,418		
	ATEMENT BY A			Filing Date	February 5, 2004		
51	ALEMENT DI A	KFFL.	CANI	First Named Inventor	Olsen et al.		
				Group Art Unit	1644		
	(use as many sheets as	s neces	sary)	Examiner Name	Michail A. Belyavskyi		
Sheet	4	of	5	Attorney Docket Number	PF363C2		

				U.S. PATENT DOCUMENTS		
	<u> </u>	U.S. Patent I	Document		Date of Publication	Pages, Columns, Lines,
Examiner Initials	Cite No. 1	Number	Kind Co (if kno	of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
	- 1					

	FOREIGN PATENT DOCUMENTS									
Examiner Initials	Cite	Fo	reign Patent D			Name of Patentee or Applicant	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant		
	No. 1	Office ³	Number ⁴	Kind (if kn	Code ⁵ own)	of Cited Document	MM-DD-YYYY	Passages or Relevant Figures Appear	Т6	
									Γ	
									Γ	

		OTHER REFERENCES - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	AX	ATTWOOD, "The Babel of Bioinformatics", Science, 290:471-473 (2000)	
	AY	SIGMA CHEMICAL COMPANY, "Biochemicals Organic Compounds for Research and Diagnostic Reagents", Catalogue, pgs. 1422-1423 (1994)	
	AZ	GERHOLD et al., "It's the genes! EST access to human genome content", Bioessays, 18:973-981 (1996)	
	BA	WELLS et al., "The chemokine information source: identification and characterization of novel chemokines", J. Leukocyte Biology, 61:545-550 (1997)	
	ВВ	RUSSELL et al., "Structural Features can be Unconserved in Proteins with Similar Folds", J. Molec. Biology, 244:332-350 (1994)	
	BC	SKOLNICK et al., Trends in Biotech., 18(1):34:39, 2000	
	BD	VAN REGENMORTEL, Methods: A Companion to Methods of Enzymology 9:465-472, 1996	

Examiner	Date	
Signature	Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIP Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside this box	→	+	l
---	---	---	---

PTO/SB/08 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Of Work Reduction Flor of 1775, he						
Substitute fo	or form 1449/PTO			Complete if Known			
IN	FORMATION DI	SCL	OSURE	Application Number	10/771,418		
CT	ATEMENT BY A	PPI	CANT	Filing Date	February 5, 2004		
51	AIEWENI DI A		CAN	First Named Inventor	Olsen et al.		
				Group Art Unit	1644		
	(use as many sheets a	s neces	sary)	Examiner Name	Michail A. Belyavskyi		
Sheet	5	of	5	Attorney Docket Number	PF363C2		

				U.S. PATENT DOCUMENTS	,	
Examiner Initials	a. [U.S. Patent I	Document		Date of Publication of Cited Document MM-DD-YYYY	Pages, Cohumns, Lines, Where Relevant Passages or Relevant Figures Appear
	Cite No. 1	Number	Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS									
Examiner Initials	Cite No. 1	Foreign Patent Document				Name of Patentee or Applicant	Date of Publication	Pages, Columns, Lines, Where Relevant	Τ
		Office ³	Number ⁴	Kind (if kn	Code ⁵ own)	of Cited Document	of Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Т6
				·					

		OTHER REFERENCES - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue publisher, city and/or country where published.				
	BE	COLMAN et al., Research in Immunology 145(1):33-36, 1994			
	BF	ABAZA et al., Journal of Protein Chemistry 11(5):433-444, 1992			
	BG	LEDERMAN et al., Molecular Immunology 28:1171-1181, 1991			
	BH	LI et al., PNAS 77:3211-3214, 1980			
	BI	NGO et al., Protein Folding problem and Tertiary Structure prediction, 1994, Merz et al., (ed), Birkhauser, Boston, MA, pp.433 and 492-495			
	BJ	TEDLA et al., "Activation of human eosinophils through leukocyte immunoglobulin-like receptor 7", PNAS, 100(3):1174-1179 (2003)			

Examiner	Date	6
Signature	 Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIP Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.